

Awareness Of Security Market Question & Answers

Q1. SEBI stands for:

- a) Securities and Exchange Bank of India
- b) Securities and Exchange Board for Investment
- c) Securities and Exchange Board of India
- d) None of These

Answer: d

Q2. Headquarter of SEBI is located at:

- a) New Delhi
- b) Mumbai
- c) Bangalore
- d) None of These

Answer: b

Q3. SEBI was established in the year:

- a) 1992
- b) 1988
- c) 1991
- d) None of These

Answer: b

Q4. SEBI get its statutory powers on:

- a) 17 April 1992
- b) 15 April 1992
- c) 12 April 1992
- d) None of These

Answer: c

Q5. Current Chairman of SEBI is:

- a) Upendra Kumar Sinha

- b) Arundhati Bhattacharya
- c) Uday Dharamlingam
- d) None of These

Answer: a

Q6. Which among the following complaints not dealt by SEBI:

- a) Complaints falling under the purview of the regulatory bodies like RBI, IRDA, PFEDA, CCI, FMC etc.
- b) Complaints regarding Pension Fund
- c) Complaints regarding Commodities
- d) All of Above
- e) None of These

Answer: d

Q7. SCORES stands for:

- a) SEBI Complaints Redress System
- b) Special Complaints Redress System
- c) Sources for Complaints Redress System
- d) None of These

Answer: a

Q8. Upendra Kumar Sinha joined as a chairman of SEBI since:

- a) 10 February 2011
- b) 18 February 2011
- c) 17 February 2011
- d) None of These

Answer: b

Q9. Basic functions of the Securities and Exchange Board of India is:

- a) To protect the interest of investors in securities
- b) To promote the development of the securities market
- c) To Regulate the securities market and all matters connected therewith or incidental thereto
- d) All of the Above
- e) None of These

Answer: d

Q10. SEBI has to be responsive to the needs of which of the following:

- a) The Investors
- b) The Issuers of Securities
- c) The Market Intermediaries
- d) All of the Above
- e) None of These

Answer: d

Q11. Which of the following functions rolled into one body by SEBI:

- a) Quasi-Legislative
- b) Quasi-Judicial
- c) Quasi Executive
- d) All of the Above
- e) None of These

Answer: d

Q12. SEBI conducts _____ and _____ action in its executive function and it passes ruling and orders in its judicial capacity:

- a) Efficient and Effective
- b) Investigation and Enforcement
- c) Regulation and Leadership
- d) None of These

Answer: b

Q13. For the discharge of its functions efficiently, SEBI has been vested with which of the following powers:

- a) Inspect the books of accounts and call for periodical returns from recognized stock exchanges
- b) Inspect the book of accounts of a financial intermediaries
- c) Compel certain companies to list their shares in one or more stock exchanges.
- d) All of the Above
- e) None of These

Answer: d

Q14. Which of the following term is incorrect:

- a) Circuit Broker
- b) Merchant Broker
- c) Stabled Broker
- d) None of These

Answer: c

Q15. The rolling cycle of T + 2 means, Settlement is done in _____ after trade date:

- a) 2 Days
- b) 2 Months
- c) 2 Weeks
- d) None of These

Answer: a